

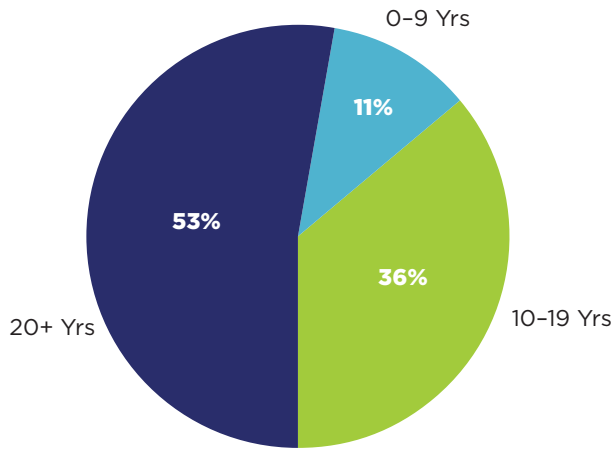
SECURITIES INDUSTRY INSTITUTE

SII2026

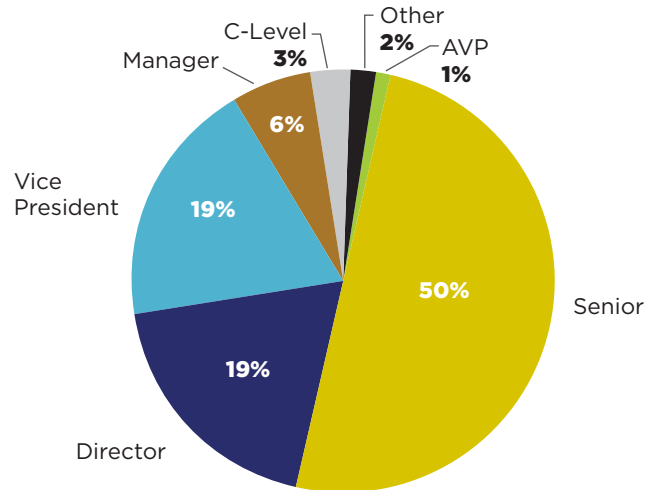
EXCELLENCE
IN LEADERSHIP
DEVELOPMENT

Participant Profile

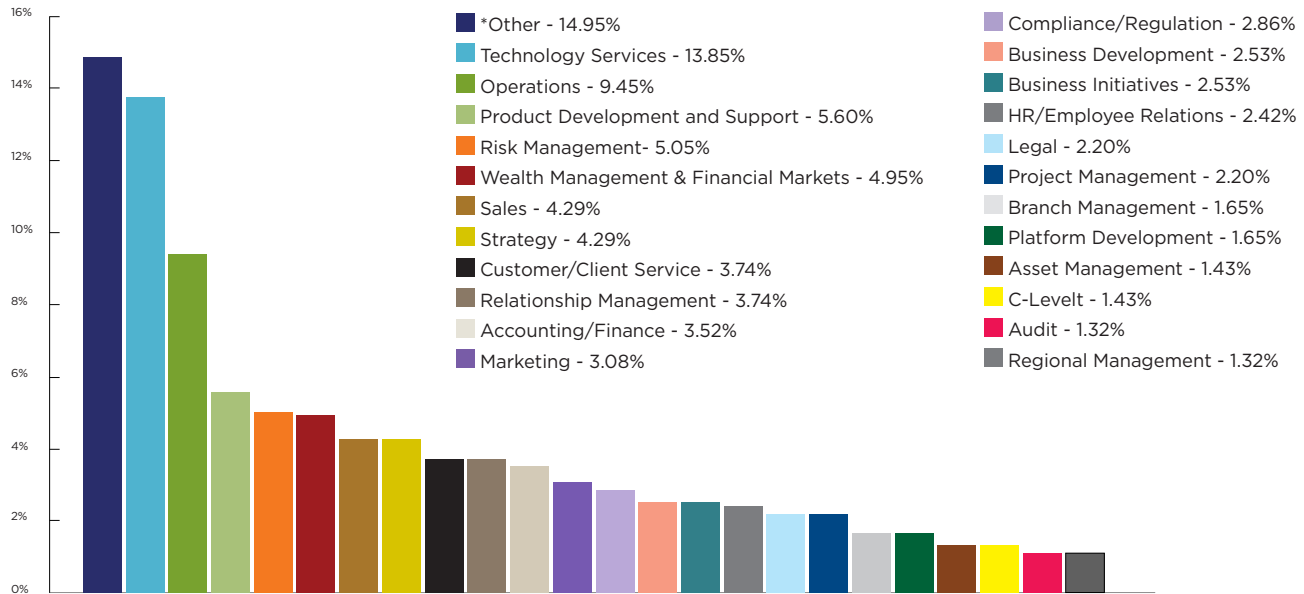
YEARS IN INDUSTRY



PARTICIPANT TITLES



PARTICIPANT PROFILE (BY FUNCTION)



*Other contains: Advocacy & Administration, AI and Machine Learning, Alternative Investments, Anti-Money Laundering & Financial Crimes, Architecture & Engineering Manager for Real Time Communication Platforms and Services, Asset Management, Audit, Behavior Analytics, Business management – CAO, Chief of Staff supporting Managing Director, Clearing/Transactions, Client Experience, Corporate Finance & Capacity Planning, Customer Analytics, Customer Tax Reporting & Cost Basis, Cyber security, Data and Analytics, Data Governance, Data Privacy, Design, User Experience, Digital and Automated Investing, Direct to consumer retail businesses, Diversity, Equity Capital Markets, Financial Crimes, Financial Planning, Financial/Investment Advisor, Firm Planning & Strategic Execution, Fixed Income, Global Procurement, Government Relations, Head of Business Unit, Head of India Subsidiary supporting Operations for Pershing group, Incident Management, Project Management, Technical Writing, Industry Relationships, Information Security, Institutional Recordkeeping Offer Management & Oversight, Integration Management Office & Strategy, Investment Banking, Investment Stewardship, Model Risk Management, Offer strategy and Management, Portfolio Real Estate, Post-merger Integration, PR/Communications, Product Governance, Product Management/Business Analysis, Product Review, Product Specialist, Program management, Risk management, Risk management, Quality engineering, Public Finance, Regulator, Resiliency Advisory, Retirement Planning, Sales Technology, Servicing Technology, Strategic Initiative and Technology, Strategy and Analytics, Strategy and Planning, Supervision of Financial Markets in the Bahamas, Supervision of Trust Company, Supply Management, Sustainable Investing / ESG / DEI, Technology Platform Engineering and Delivery, Trading, Training/Education, Transfer Agency/Recordkeeping, Transformation, Treasury, User Experience

MARCH 8-13, 2026 | THE WHARTON SCHOOL, PA

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