REGISTRATION

What happens if a current participant is new to our firm?

Participants are eligible to continue if they move firms during their three-year participation. Participants are expected to notify the SII team to change their contact information. Participants new to your firm who wish to continue in the program must advise the firm. SII does not take responsibility for managing participants’ enrollment process.

If an attendee leaves the firm, or is unable to attend, may we send someone else?

A firm can request a substitute provided the request is submitted in writing, no later than January 19, 2024. Substitutions are not guaranteed and must be approved by the SII team.

If a participant is unable to attend the program, will they be able to register for the following year?

The SII program is a three consecutive year program. If a participant is unable to attend in three consecutive years, an enrollment exemption request will be considered on a case-by-case basis. Please contact Kristin Sheehan or Erin Schroeder at sii@sifma.org to require an exemption.

Is there a limit of attendees per firm?

There is no limit per firm. Please contact Kristin Sheehan or Erin Schroeder at 212-313-1108 or sii@sifma.org to coordinate registration at the firm level.

What if a participant needs to cancel their registration?

All cancellation requests must be submitted in writing to the SII team at sii@sifma.org. Any cancellations after December 8, 2023, will result in a 100% loss of the tuition fee unless SIFMA is able to fulfill a waitlist request. Visit www.sifma.org/sii to view the full cancellation policy.

HOTEL

Does SII provide hotels for participants?

SII has partnered with hotels adjacent to the Wharton campus to house SII participants and provide discounted rates during Institute Week. Participants may reserve hotels as part of their SII registration. Hotel accommodations are not included in the tuition, and therefore participants are required to pay the hotel directly. Click here for more details on SII hotels.
How does a participant reserve a hotel room?

Participants can reserve a hotel room during the registration process. SIFMA handles hotel reservations for all participants.

Are the hotels close to the Wharton campus?

The 5 hotels are either on or adjacent to the Wharton campus. Click here to view a map of the hotels in relation to the Wharton campus.

What does a participant do if they need to cancel their hotel reservation?

All hotel cancellations must be submitted in writing to the SII team at sii@sifma.org no later than January 19, 2024. Cancellations made directly with the hotel will not be honored.

CLASS SELECTION

When does class selection take place?

Participants will select their courses in January/February 2024. Year 3 will be given priority followed by Year 2 and then 1. Estimated dates are as follows:

- Wednesday, January 10th – Course descriptions and speaker bios will be distributed
- Monday, January 22nd 12:00pm ET – Year 3 class selection (ends 5:00pm EST January 23rd)
- Thursday, January 25th 12:00pm ET – Year 2 class selection (ends 5:00pm EST January 26th)
- Tuesday January 30th 12:00pm ET – Year 1 class selection (ends 5:00pm EST January 31st)

Will I get my first choice during class selection?

Many SII sessions are capped to facilitate small interactive classes (30 person capacity). Because of this, you may not get your 1st choice selections in Years 1&2 but by Year 3 you will be able to sign up for any session that is still on your SII wish list. The SII team recommends having a 1st, 2nd, and 3rd choice for each session timeslot so that the class selection process moves quickly.

What does a participant do if a class is full?

Select your 2nd or 3rd choice and then email sii@sifma.org to be added to a session waitlist. Once class registration is completed, the SII team will review all waitlist requests and make changes whenever possible.
What if a participant is unavailable during the time of class selection?

A participant should give their course selection form to a colleague to complete on their behalf. SII sessions can fill quickly so log in right at the time of selection.

CURRICULUM

What does the SII curriculum focus on?

Under the leadership of the SII Board of Trustees and the program’s Academic Director Dr. Jeremy Siegel, the curriculum is designed to meet the specific challenges facing financial services professionals. The 3 major categories include Industry and Investment Knowledge, Leadership and Professional Development, and Strategy and Innovation.

What are some examples of sessions a participant can take?

- Industry and Investment Knowledge
  - ESG and Impacting Investing in Today’s Markets
  - Private Equity
- Leadership and Professional Development
  - Leading High-Performing Teams
  - Strategies for Life Success
- Strategy and Innovation
  - Cybersecurity
  - Strategic Agility

What session formats and teaching methods are utilized?

SII uses a variety of formats such as small interactive sessions, group projects and workshops, simulations, and Year-specific DEI and Ethics sessions.

Are there any tests or papers?

The SII program does not have any tests or papers however some sessions require pre-reading and assessments to be completed prior to onsite arrival. Pre-work will be listed on the course description if a session requires it.
Are any sessions eligible for Continuing Education (CE) Credits?

SII offers the following CE credits for many courses within the curriculum. Sessions eligible for CE credits will be listed on the course description.

- CFP – Certified Financial Planner
- CLE – Continuing Legal Education
- IWI – Investment & Wealth Institute

For participants who need CE credits for another institution, email the SII Team at sii@sifma.org after the program is over and we will provide a letter of completion for the 2024 program.

ON-SITE

Where does a participant find class materials (course descriptions, presentations, speaker bios, etc.)?

SII utilizes a mobile app while on campus that houses all session materials. Participants will find all presentations, session surveys, speakers bios, etc. within the individual session page on the app.

How does a participant find each building and classroom onsite?

The mobile app has Google walking directions for all the campus buildings used throughout Institute Week.

Are participants able to arrive late or leave a day early?

No, participants are expected to arrive on Sunday and depart on Friday and attend all sessions. SII designated hotels have a FIVE NIGHT MINIMUM (March 3-8, 2024) stay policy and participants will be responsible for all five nights.

What happens if a participant is called away for business or personal reasons?

Participants must email the SII team at sii@sifma.org to advise that they are leaving the program. A participant will be required to document the reason for departure and may be required to enroll next year at the same level of curriculum. Refunds will not be given for early departures.