



SECURITIES INDUSTRY INSTITUTE

SII2026

EXCELLENCE
IN LEADERSHIP
DEVELOPMENT

MARCH 8-13, 2026 | THE WHARTON SCHOOL, PA

2025 Participating Firms

Ally Financial	Hilltop Securities Inc.	Osaic, Inc.
Altruist Financial LLC	Hudson River Trading	PricewaterhouseCoopers LLP
Ameriprise Financial, Inc.	Hunter Associates LLC	Principal Global Investors, LLC
Axos Clearing LLC	Insigneo Securities, LLC	Prospera Financial
Bank of America Merrill Lynch	Insurance Commission of The Bahamas	Protiviti
Benjamin F. Edwards & Company, Inc.	IntraFi Network LLC	Prudential Financial, Inc.
BetaNXT	InvestX Capital	Raymond James & Associates, Inc.
BNY Pershing	J.P. Morgan Securities LLC	RBC Capital Markets, LLC
Broadridge Financial Solutions, Inc.	Janney Montgomery Scott LLC	Robert W. Baird & Co. Incorporated
Brown Brothers Harriman & Co.	John Hancock Investment Management Distributors LLC	Robinhood Financial, LLC
Capital One Financial Corporation	KPMG LLP	Safra Securities LLC
Cboe Global Markets	Lincoln Financial	Securities Commission of the Bahamas
Centennial Securities Company, Inc.	LPL Financial LLC	Securities Investor Protection Corporation (SIPC)
Cetera Financial Group	Mackenzie Investments	SEI Investments Distribution Co.
Charles Schwab & Co., Inc.	Manulife Investment Management	Sia Partners
Citigroup Global Markets Inc.	Marex Capital Markets Inc.	SIFMA
Commonwealth Financial Network	MassMutual MML Investors Services	Smith Moore
D.A. Davidson & Co.	Moors & Cabot, Inc.	SS&C Market Services, LLC
Deloitte	Morgan Stanley & Co. LLC	SWIFT
Depository Trust & Clearing Corporation (DTCC)	Neuberger Berman Group LLC	TD Securities (USA) LLC
Edward D. Jones & Co., L.P.	New York Life Investment Management LLC	TIAA-CREF Individual & Institutional Services, LLC
Empower Financial Services, Inc.	NewSquare Capital LLC	UBS Securities LLC
Evergreen Wealth	Northern Trust Securities, Inc.	VALIC Financial Advisors, Inc.
FICO	Northwestern Mutual Investment Services, LLC	Vanguard
Fidelity Investments	Oak Ridge Financial Services Group, Inc.	Vision Financial Markets LLC
FINRA		Wells Fargo
Hartford Funds		William Blair
HighTower Securities, LLC		

Contact: Kristin Sheehan / Erin Schroeder at 212-313-1108 or sii@sifma.org

Website: www.sifma.org/event/sii