JOIN US IN 2023 AS A SUPPORTING SPONSOR

C&L 2023 REGIONAL SEMINARS

ST. LOUIS
June 14, 2023 | Edward Jones Conference Center

CHARLOTTE
September 11, 2023 | The Ritz-Carlton, Charlotte
The SIFMA C&L 2023 Regional Seminars Series

The 2023 Series of SIFMA C&L Regional Seminars will target compliance and legal professionals from the respective regional areas. Most attendees will include leading, legal and compliance professionals. Many of these individuals are the final decision makers when determining what products and services their firms will purchase that are relative to compliance and legal activities. We are anticipating attendance of 100 - 300+ senior level decision makers, many of which are Senior Compliance Officers representing the Financial Markets Industry at each of these events.

Position your firm and gain maximum recognition as a Metal Tiered Sponsor by supporting two or more C&L Regional Seminars with investments that offer benefits across many platforms. Sponsorship Benefits include networking as well as a showcase of sponsoring firms throughout SIFMA’s C&L Regional Seminars which will provide participants with personal opportunities to meet and speak with your firm’s representatives and cultivate relationships through relevant experiences.

TO RESERVE YOUR SPONSORSHIP, PLEASE CONTACT:

Scott Kramer
Managing Director, Head of Exhibit & Sponsorship Sales
SIFMA
212.313.1119 | skramer@sifma.org
SIFMA’s Sponsorship Package offers maximum value with the following benefits:

Pre-Seminar Benefits
• Prominent placement of logo and firm profile on SIFMA’s C&L Regional Seminar website.
• Prominent placement of logo on SIFMA’s dedicated sends, which include email campaigns highlighting dates, program, etc.
• Preliminary mailing lists of C&L Regional Seminar attendees in electronic format sent in advance of the forum, that includes Participants Name, Title, Firm Affiliation and U.S. Postal Address.

Day of Seminar Benefits
• Complimentary Regional Seminar Registration(s).
• Access to food and networking functions.
• Tabletop Display & Two (2) exhibitor table personnel badges for all events, where applicable.
• Prominent brand recognition during the sponsored function (if applicable), with logo displayed on dedicated signage, that includes both print and digital formats, strategically placed in various outlets that include registration area, general session slide deck, etc.
• Dedicated sponsor listing included on the Seminar Digital Event Guide, branding company logo, listing contact name and company profile.
• Sponsoring firm's corporate description and logo placed on sponsored Seminar website.

Post- Seminar Benefits
• Listing company name, placement of logo and firm profile on SIFMA website, following the seminar, for an additional three (3) months
• Complete mailing lists of seminar attendees in electronic format sent at the conclusion of the seminar.
• Logo placement on post event highlights page as well as on attendee survey email.

Sponsor Two (2) C&L Regional Seminars and receive Gold Sponsorship Status at all Three (3) Seminars

Includes Two (2) C&L Regional Seminars Registrations at each Seminar sponsored
## AVAILABLE SPONSORSHIP OPPORTUNITIES

<table>
<thead>
<tr>
<th>Price</th>
<th>SIFMA Member Rate</th>
<th>Non-Member Rate</th>
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<tbody>
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<td>$12,000</td>
<td>$14,500</td>
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Includes: Three (3) Seminar Registrations  
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Luncheon - SOLD
- Networking Cocktail Reception - SOLD
- Committee & Speakers Dinner  
  (includes 1 invitation to the Speakers Dinner)

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Includes: One (1) Seminar Registration  
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Networking Refreshments  
  - Morning - SOLD  
  - Afternoon
- Badge Lanyard  
  *Sponsoring firm responsible for production and delivery fees.*
- Cell Phone Recharge Zone
- Digital Seminar Guide
- Notebook & Pen  
  *Sponsoring firm responsible for production and delivery fees.*

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  - Includes: Three (3) Seminar Registrations
  - Table Top Exhibit Display / Two (2) Table Personnel Badges
  - Luncheon - **SOLD**
  - Networking Cocktail Reception - **SOLD**
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- **$10,000 | SIFMA Member Rate | $12,500 | Non-Member Rate**
  - Includes: Two (2) Seminar Registrations
  - Table Top Exhibit Display / Two (2) Table Personnel Badges
  - Networking Continental Breakfast
  - Wi-Fi for Attendees

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  - Badge Lanyard - **SOLD**
  - Cell Phone Recharge Zone
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  - Notebook & Pen - **SOLD**

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