



## REGISTRATION

### **What is the tuition amount for the 2026 program and is tuition paid annually?**

The tuition for SII 2026 is \$7,000 for SIFMA member firms and \$9,000 for Non-Member firms. Tuition is paid annually. This rate does not include housing or travel but does include lunches and receptions as well as breakfast if participants book their hotel stay via SII. [Click here](#) for a SIFMA Member Firm listing.

### **What happens if a current participant is new to our firm?**

Participants are eligible to continue if they move firms during their three-year participation. Participants are expected to notify the SII team to change their contact information. Participants new to your firm who wish to continue in the program must advise the firm. SII does not take responsibility for managing participants' enrollment process.

### **If an attendee leaves the firm, or is unable to attend, may we send someone else?**

A firm can request a substitute provided the request is submitted in writing, no later than January 23, 2026. Substitutions are not guaranteed and must be approved by the SII team.

### **If a participant is unable to attend the program, will they be able to register for the following year?**

The SII program is a three consecutive year program. If a participant is unable to attend in three consecutive years, an enrollment exemption request will be considered on a case-by-case basis. Please contact Kristin Sheehan or Erin Schroeder at 212-313-1108 or [sii@sifma.org](mailto:sii@sifma.org) to require an exemption.

### **Is there a limit on attendees per firm?**

Please contact Kristin Sheehan or Erin Schroeder at 212-313-1108 or [sii@sifma.org](mailto:sii@sifma.org) to coordinate registration at the firm level.

### **What if a participant needs to cancel their registration?**

All cancellation requests must be submitted in writing to the SII team at [sii@sifma.org](mailto:sii@sifma.org). Any cancellations prior to or on December 12, 2025 will result in a 100% refund (less a \$100 processing fee). Any cancellations after December 12, 2025 through January 23, 2026 will result in a 50% refund (less a \$100 processing fee) unless SIFMA is able to fulfill a waitlist request. If a waitlist request is fulfilled by SIFMA, the non-refundable portion of the tuition fee will be deferred to the next SII program. Deferred tuition is non-transferable. Visit [www.sifma.org/sii](http://www.sifma.org/sii) to view the full cancellation policy.



## HOTEL

### Does SII provide hotels for participants?

SII has partnered with hotels adjacent to the Wharton campus to house SII participants and provide discounted rates during Institute Week. Participants may reserve hotels as part of their SII registration. Hotel accommodations are not included in the tuition, and therefore participants are required to pay the hotel directly. [Click here for more details on SII hotels.](#)

### How does a participant reserve a hotel room?

Participants can reserve a hotel room during the registration process. A link to the housing registration module is available on your SII registration confirmation page so you can book housing immediately or return at a later date to book your hotel reservation. Modifications to your hotel reservations can be made through links on your SII confirmation page until the January 16, 2026 deadline.

### Are the hotels close to the Wharton campus?

The 5 hotels are either on or adjacent to the Wharton campus.

### What does a participant do if they need to cancel their hotel reservation?

Cancellations to your hotel reservation can be made through links on your SII registration confirmation page until January 16, 2026.

## CLASS SELECTION

### When does class selection take place?

Participants will select their courses in January/February 2026. Year 3 will be given priority followed by Year 2 and then 1. Estimated dates are as follows:

- Wednesday, January 7<sup>th</sup> – Course descriptions and speaker bios will be distributed
- Monday, January 26<sup>th</sup> 12:00pm ET – Year 3 class selection (ends 5:00pm ET January 27<sup>th</sup>)
- Thursday, January 29<sup>th</sup> 12:00pm ET – Year 2 class selection (ends 5:00pm ET January 30<sup>th</sup>)
- Tuesday, February 3<sup>rd</sup> 12:00pm ET – Year 1 class selection (ends 5:00pm ET February 4<sup>th</sup>)



### **Will I get my first choice during class selection?**

Many SII sessions are capped to facilitate small interactive classes (30-person capacity). Because of this, you may not get your 1st choice selections in Years 1&2 but by Year 3 you will be able to sign up for any session that is still on your SII wish list. The SII Team recommends having a 1<sup>st</sup>, 2<sup>nd</sup>, and 3<sup>rd</sup> choice for each session timeslot so that the class selection process moves quickly.

### **What does a participant do if a class is full?**

Select your 2nd or 3rd choice and then email [sii@sifma.org](mailto:sii@sifma.org) to be added to a session waitlist. Once class registration is completed, the SII team will review all waitlist requests and make changes whenever possible.

### **What if a participant is unavailable during the time of class selection?**

The participant should give their course selection form to a colleague to complete on their behalf. SII sessions can fill quickly so log in right at the time of selection.

## **CURRICULUM**

### **What does the SII curriculum focus on?**

Under the leadership of the SII Board of Trustees and the program's Academic Director Dr. Jeremy Siegel, the curriculum is designed to meet the specific challenges facing financial services professionals. The 3 major categories include Industry and Investment Knowledge, Leadership and Professional Development, and Strategy and Innovation.

### **What are some examples of sessions a participant can take?**

- Industry and Investment Knowledge
  - Market and Economic Perspectives
  - Private Equity
- Leadership and Professional Development
  - Adaptive Leadership
  - Strategies for Life Success
- Strategy and Innovation
  - AI and the Future of Work
  - Strategic Agility



### **What session formats and teaching methods are utilized?**

SII uses a variety of formats such as small interactive sessions, group projects and workshops, simulations, and Ethics sessions.

### **Are there any tests or papers?**

The SII program does not have any tests or papers.

### **Is there other coursework that is done throughout the Year?**

All coursework is done while on campus during Institute Week. Some courses may have pre-work assigned to the session that participants are to complete prior to the week. Courses with pre-work will be noted on their course descriptions. Pre-work can take the form of assessments, surveys, readings, etc.

### **Are any sessions eligible for Continuing Education (CE) Credits?**

SII offers the following CE credits for many courses within the curriculum. Sessions eligible for CE credits will be listed in the course description.

- CFP – Certified Financial Planner
- IWI – Investment & Wealth Institute

For participants who need CE credits for another institution, email the SII Team at [sii@sifma.org](mailto:sii@sifma.org) after the program is over and we will provide a letter of completion for the 2026 program.

## **ON-SITE**

### **Where does a participant find class materials (course descriptions, presentations, speaker bios, etc.)?**

SII utilizes a mobile app while on campus that houses all session materials. Participants will find all presentations, session surveys, speakers bios, etc. within the individual session page on the app.



**How does a participant find each building and classroom onsite?**

The mobile app has Google walking directions for all the campus buildings used throughout Institute Week.

**Are participants able to arrive late or leave a day early?**

No, participants are expected to arrive on Sunday and depart on Friday and attend all sessions. SII designated hotels have a **FIVE NIGHT MINIMUM** (March 8-13, 2026) stay policy and participants will be responsible for all five nights.

**What happens if a participant is called away for business or personal reasons?**

Participants must email the SII team at [sii@sifma.org](mailto:sii@sifma.org) to advise that they are leaving the program. A participant will be required to document the reason for departure and may be required to enroll next year at the same level of curriculum. Refunds will not be given for early departures.