

JOIN US IN 2026 AS A SUPPORTING SPONSOR



C&L 2026 REGIONAL SEMINARS:

Midwest

ST. LOUIS

June 16, 2026

Northeast

NEW YORK

September 16, 2026

Southeast

CHARLOTTE

October 5, 2026



The SIFMA C&L 2026 Regional Seminar Series

The series of SIFMA C&L Regional Seminars will focus on compliance and legal professionals from the designated regional areas. The majority of participants will consist of prominent legal and compliance experts. A significant number of these attendees are key decision-makers responsible for selecting products and services pertinent to compliance and legal functions for their organizations. The audience will primarily include senior-level decision-makers, many of whom are Senior Compliance Officers from the Financial Markets Industry attending these events.

Maximize your firm's presence and achieve optimal visibility as a Tiered Sponsor by sponsoring two or more C&L Regional Seminars through investments that offer benefits across various platforms. The benefits of sponsorship include networking opportunities and the promotion of the sponsoring firm throughout SIFMA's C&L Regional Seminars. This allows participants to engage directly with your firm's representatives and foster relationships through meaningful interactions and experiences.



TO RESERVE YOUR SPONSORSHIP, PLEASE CONTACT:

Scott Kramer

Managing Director, Head of Exhibit & Sponsorship Sales
SIFMA

212.313.1119 | skramer@sifma.org



SIFMA's Sponsorship Package offers maximum value with the following benefits:

Pre-Seminar Benefits

- Prominent placement of logo and firm profile on SIFMA's C&L Regional Seminar website.
- Prominent placement of logo on SIFMA's dedicated sends, which include email campaigns highlighting dates, program, etc.
- Preliminary mailing list of sponsored C&L Regional Seminar registrants (name, title, firm and postal address only).

Day of Seminar Benefits

- Complimentary Regional Seminar Registration(s).
- Access to food and networking functions.
- Tabletop Display & Two (2) exhibitor table personnel badges for all events, where applicable.
- Prominent brand recognition during the sponsored function (if applicable), with logo displayed on dedicated signage, that includes both print and digital formats, strategically placed in various outlets that include registration area, general session slide deck, etc.
- Dedicated sponsor listing included on the Seminar Digital Event Guide, branding company logo, listing contact name and company profile.
- Sponsoring firm's logo placed on sponsored Seminar website.

Post- Seminar Benefits

- Listing company name, placement of logo on SIFMA website, following the seminar, for an additional three (3) months
- Final mailing list of sponsored C&L Regional Seminar registrants (name, title, firm, and postal address only.) List sent at the conclusion of the seminar.*
- Logo placement on post event highlights page as well as on attendee survey email.

*Includes only U.S.-based participants who do not opt out during the registration process; does not include telephone or email addresses (view SIFMA's Privacy Policy at www.sifma.org/privacy).



**PLATINUM
SPONSOR**

Sponsor all Three (3) C&L Regional Seminars and receive Platinum Sponsorship Status at each Seminar Sponsored.

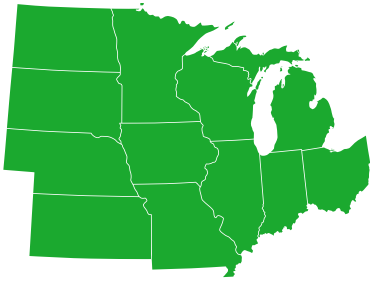
Includes Two (2) Additional Seminar Registrations at each Seminar sponsored.



**GOLD
SPONSOR**

Sponsor Two (2) C&L Regional Seminars and receive Gold Sponsorship Status at each Seminar Sponsored.

Includes Two (2) C&L Regional Seminars Registrations at each Seminar sponsored.



C&L REGIONAL Midwest

June 16, 2026 | Edward Jones
Conference Center, St. Louis

AVAILABLE SPONSORSHIP OPPORTUNITIES

\$13,000 | SIFMA Member Rate

\$16,000 | Non-Member Rate

Includes: Three (3) Seminar Registrations
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Luncheon - **SOLD**
- Networking Cocktail Reception - **SOLD**
- Committee & Speakers Dinner - **SOLD**
(includes 1 invitation to the Speakers Dinner)

\$11,000 | SIFMA Member Rate

\$14,000 | Non-Member Rate

Includes: Two (2) Seminar Registrations
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Networking Continental Breakfast - **SOLD**

\$9,500 | SIFMA Member Rate

\$12,500 | Non-Member Rate

Includes: One (1) Seminar Registration
Table Top Exhibit Display / Two (2) Table Personnel Badges

- Networking Refreshments
 - Morning - **SOLD**
 - Afternoon - **SOLD**
- Badge Lanyard - **SOLD**
Sponsoring firm responsible for production and delivery fees.
- Cell Phone Charging Kiosk
- Digital Seminar Guide
- Seat Drop: Sponsor's Branded Item
Sponsoring firm responsible for production and delivery fees.

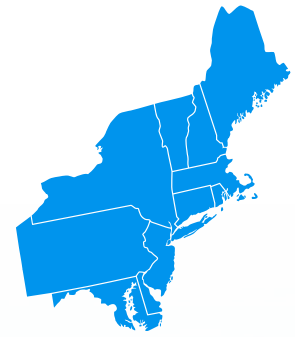
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September 16, 2026 |
New York Law School, New York



C&L REGIONAL Northeast



AVAILABLE SPONSORSHIP OPPORTUNITIES

\$9,500 | SIFMA Member Rate

\$12,500 | Non-Member Rate

**Includes: Three (3) Seminar Registrations
Table Top Exhibit Display / Two (2) Table Personnel Badges**

- Luncheon - **SOLD**
- Networking Cocktail Reception - **SOLD**

\$7,500 | SIFMA Member Rate

\$10,500 | Non-Member Rate

**Includes: Two (2) Seminar Registrations
Table Top Exhibit Display / Two (2) Table Personnel Badges**

- Networking Continental Breakfast - **SOLD**
- Wi-Fi for Attendees - **SOLD**

\$6,000 | SIFMA Member Rate

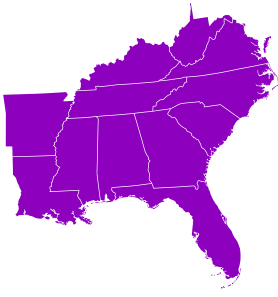
\$9,000 | Non-Member Rate

**Includes: One (1) Seminar Registration
Table Top Exhibit Display / Two (2) Table Personnel Badges**

- Networking Refreshments
 - Morning - **SOLD**
 - Afternoon - **SOLD**
- Badge Lanyard - **SOLD**
Sponsoring firm responsible for production and delivery fees.
- Cell Phone Charging Kiosk - **SOLD**
- Digital Seminar Guide - **RESERVED**
- Seat Drop: Sponsor's Branded Item - **SOLD**
Sponsoring firm responsible for production and delivery fees.

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C&L REGIONAL

SouthEast

October 5, 2026 |
The Ritz-Carlton, Charlotte

AVAILABLE SPONSORSHIP OPPORTUNITIES

\$13,000 | SIFMA Member Rate

\$16,000 | Non-Member Rate

**Includes: Three (3) Seminar Registrations
Table Top Exhibit Display / Two (2) Table Personnel Badges**

- Luncheon - **SOLD**
- Networking Cocktail Reception
- Committee & Speakers Dinner
(includes 1 invitation to the Speakers Dinner)

\$11,000 | SIFMA Member Rate

\$14,000 | Non-Member Rate

**Includes: Two (2) Seminar Registrations
Table Top Exhibit Display / Two (2) Table Personnel Badges**

- Networking Continental Breakfast - **SOLD**
- Wi-Fi for Attendees

\$9,500 | SIFMA Member Rate

\$12,500 | Non-Member Rate

**Includes: One (1) Seminar Registration
Table Top Exhibit Display / Two (2) Table Personnel Badges**

- Networking Refreshments
 - Morning - **SOLD**
 - Afternoon - **SOLD**
- Badge Lanyard - **SOLD**
Sponsoring firm responsible for production and delivery fees.
- Cell Phone Charging Kiosk - **SOLD**
- Digital Seminar Guide - **SOLD**
- Seat Drop: Sponsor's Branded Item
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NEW YORK | WASHINGTON

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