



FINANCIAL MANAGEMENT SOCIETY



AICPA® & CIMA®

NATIONAL CONFERENCE ON THE SECURITIES INDUSTRY

OCTOBER 28-29, 2026
NEW YORK LAW SCHOOL

SPONSORSHIP OPPORTUNITIES

SIFMA Financial Management Society



NATIONAL CONFERENCE ON THE SECURITIES INDUSTRY

OCTOBER 28-29, 2026 | NEW YORK LAW SCHOOL



Join us as a participating sponsor today!

Sponsorship provides an opportunity for your firm to gain maximum exposure during both conferences, as well as inclusion in the event's promotional campaign. Tiered sponsorship opportunities offer benefits geared to maximize your marketing goals and objectives.

National Conference on the Securities Industry

The National Conference on the Securities Industry offers the latest insights from senior leadership, regulators and accounting authorities shaping industry standards. Hosted by the SIFMA Financial Management Society and AICPA & CIMA, attendees are updated on securities accounting, auditing, regulatory developments, and key economic and market trends.

Financial Management Society (FMS) Regional Conference

The FMS Regional Conference provides finance, regulatory and accounting professionals the opportunity to engage in key discussions with regulators - including the SEC, CFC and FINRA - and to connect with financial services industry leaders and peers.

**Sponsor both conferences and receive an upgraded sponsor tier status for each.
Includes One (1) additional conference registration to each event.**

To reserve your sponsorship, please contact:

Lynn White | 212.313.1151 | lwhite@sifma.org

Vice President, Sponsorship Sales



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Co-hosted by the AICPA & CIMA and the SIFMA Financial Management Society (SIFMA FMS), the National Conference on the Securities Industry features senior executives on the latest developments in securities regulation, auditing and accounting requirements.

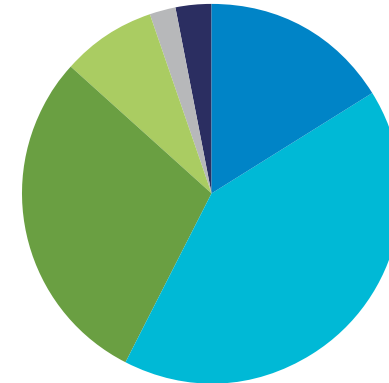
Program discussions typically feature:

- Executive Insights: A high-level outlook on the securities industry from senior leadership.
- Market Analysis: Analyst perspectives on the current state of banking and securities.
- Regulatory Updates: A deep dive into recent and upcoming FASB accounting and auditing standards.
- Interactive Dialogue: An open Q&A forum with regulators from the SEC, FINRA, and CFTC.

Designed for senior professionals managing finance, accounting, and regulatory mandates, this event expects to host approximately 300-350 delegates.

Our goal is to provide participants with the opportunity to participate in very timely and interactive sessions that address current industry issues as well as to provide a forum for networking among the finance, accounting and regulatory professionals attending this conference. Please join us in sponsoring this important conference!

2025 NATIONAL CONFERENCE DELEGATE PROFILE



- C-Suite - 16%
- Managing Director and Senior Executive - 41%
- Vice President/Director - 30%
- General Counsel/Attorney - 8%
- Consultant - 3%
- Regulator - 2%

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Available Sponsorship Opportunities

PLATINUM	Sponsorship	
<p><u>Investment</u></p> <p>\$13,250 SIFMA MEMBER RATE \$15,500 NON-MEMBER RATE</p> <p><u>Benefits Include</u></p> <ul style="list-style-type: none"> • Two (2) Conference Registrations • One (1) Reduced Registration (50% discount) • Table Display & Two (2) Table Personnel Registrations 	<p>VIP Chair Dinner</p> <p>Exclusive sponsorship of the dinner offers a high visibility opportunity. Join committee members, speakers, and other senior accounting, regulatory and distinguished guests.</p> <p><i>Includes Two Dinner Invitations</i></p>	<p>Thursday, October 29</p> <p>SOLD</p>

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Available Sponsorship Opportunities

GOLD	Sponsorship	
<p>Investment</p> <p>\$11,250 SIFMA MEMBER RATE \$13,500 NON-MEMBER RATE</p> <p>Benefits Include</p> <ul style="list-style-type: none"> • Two (2) Conference Registrations • Table Display & Two (2) Table Personnel Registrations 	<p>General Session Audio Visual & Live Video Webcast</p> <p>This exclusive sponsorship will provide your firm with significant exposure throughout the conference. Including your logo being integrated into the presentation slide desk ensuring maximum visibility. Your logo, linked to your website, will also be featured on the live video webcast website.</p>	<p>Wednesday, October 28</p> <p>Thursday, October 29</p>
	<p>Networking Lunch</p> <p>Includes prominent brand recognition at buffet stations along with refreshments, allowing for one-on-one networking opportunities with industry colleagues.</p>	<p>Wednesday, October 28 SOLD</p> <p>Thursday, October 29</p>
	<p>Networking Reception</p> <p>Elevated brand recognition at the end of the day. Offers an open bar and hors d'oeuvres, set in a relaxed atmosphere allowing attendees the chance to network and casually mingle.</p>	<p>Wednesday, October 28</p>

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Available Sponsorship Opportunities

SILVER	Sponsorship	
<p>Investment \$8,750 SIFMA MEMBER RATE \$11,000 NON-MEMBER RATE</p> <p>Benefits Include</p> <ul style="list-style-type: none"> • One (1) Conference Registration • Table Display & Two (2) Table Personnel Registrations 	<p>Networking Breakfast</p> <p>Includes prominent brand recognition and a variety of breakfast options creating a platform for one-on-one networking with industry colleagues prior to the start of the opening general session.</p>	<p>Wednesday, October 28 RESERVED Thursday, October 29</p>
	<p>Cell Phone Re-Charge Kiosk</p> <p>Attendees simply press a button on the touch screen kiosk to pop out a fully charged portable charger that is branded with the sponsoring firm's logo. The digital screen is also branded with the sponsoring firm's logo and video for added exposure.</p>	

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Available Sponsorship Opportunities

BRONZE	Sponsorship	
<p>Investment \$7,250 SIFMA MEMBER RATE \$9,000 NON-MEMBER RATE</p> <p>Benefits Include</p> <ul style="list-style-type: none"> • One (1) Conference Registration • Table Display & Two (2) Table Personnel Registrations 	<p>Networking Morning Refreshment Break Includes enhanced brand recognition and a variety of healthy snacks, during one-on-one networking with industry colleagues.</p>	<p>Wednesday, October 28 SOLD Thursday, October 29</p>
	<p>Networking Afternoon Refreshment Break Includes prominent brand recognition and a variety of healthy snacks, creating a platform for one-on-one networking opportunities with attendees.</p>	<p>Wednesday, October 28 RESERVED Thursday, October 29 RESERVED</p>
	<p>Event Guide The attendees' go-to-resource for conference information, including a program agenda, speaker bios, sponsoring firms with company profiles and much more! The sponsorship includes your banner ad linked to a PDF or your website.</p>	<p>SOLD</p>
	<p>Co-Branded Badge Lanyard SIFMA pre-approved badge lanyard produced by sponsoring firm and distributed to conference participants during registration.</p>	<p>SOLD</p>

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National Conference on the Securities Industry Sponsorship Package offers maximum value with:

- Complimentary Full Conference Registration(s) determined by sponsorship level
- Access to networking events
- Table Display & Two (2) table personnel registrations (while available - limit of 6 tables)
- Pre- and Post-Conference Attendee Direct Mailing List (name, title, firm and postal address only).*
- Company logo and description on Event Guide, if applicable
- Company logo and hyperlink on event website
- Company logo on sponsorship signage placed throughout venue

*Includes only U.S.- based attendees who do not opt out during the registration process.

Does not include telephone or email addresses (view SIFMA's Privacy Policy at www.sifma.org/privacy.)

Lectures. Networking. Market Intelligence

30

A Prestigious List of Over **30** Speakers

15

15 Essential Panels for Senior Executives responsible for Finance, Accounting and Regulatory Issues

300+

Over 300 Industry Leaders Participating

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2025 National Conference Participating Firms

- | | | | |
|--|---|---------------------------------------|---|
| AICPA | Edward D. Jones & Co., L.P. | KPMG LLP | Scotiabank |
| Barclays Capital Inc. | EisnerAmper | Lazard & Co., Limited | Securities Investor Protection Corporation (SIPC) |
| BBVA Securities Inc. | Ensign Peak Advisors | Lloyds Securities Inc. | SG Americas Securities, LLC |
| BDT & MSD Partners, LLC | Ernst & Young LLP | MacKay Shields LLC | Sia |
| Benjamin F. Edwards & Company, Inc. | Federal Reserve Bank of New York | Macquarie Capital Inc. | Societe Generale |
| BMO Capital Markets Corp. | Fidelity Investments | Mizuho Securities USA LLC | Stifel |
| BMO Financial Group | Financial Accounting Standards Board (FASB) | Morgan Stanley | StoneX Financial Inc. |
| BNY Pershing | Financial Industry Regulatory Authority Inc (FINRA) | NASDAQ | Stout Risius Ross, LLC |
| BofA Securities, Inc. | Forvis Mazars | National Financial Services LLC | Susquehanna International Group LLP |
| Broadridge Business Process Outsourcing, LLC | Futu Clearing Inc. | National Futures Association (NFA) | TD Securities (USA) LLC |
| Cahill Gordon & Reindel LLP | Goldman Sachs & Co. LLC | Nomura Securities International, Inc. | The Center for Audit Quality |
| Capitolis | Guggenheim Securities, LLC | n-Tier | The Wall Street Journal |
| Charles Schwab & Co., Inc. | Headlands Technologies LLC | PCAOB | Tradeweb LLC |
| CIBC World Markets Corp. | Hilltop Securities Inc. | PKF O'Connor Davies, LLP | Truist Securities, Inc. |
| Citadel Securities LLC | Hudson River Trading | PricewaterhouseCoopers LLP | U.S. Commodity Futures Trading Commission (CFTC) |
| Cleary Gottlieb Steen & Hamilton LLP | ING Financial Services LLC | Prometheum Inc. | UBS Securities LLC |
| Crowe LLP | J.P. Morgan Securities LLC | R.J. O'Brien Securities, LLC | US Securities and Exchange Commission (SEC) |
| Daiwa Capital Markets America Inc. | Janney Montgomery Scott LLC | Regnology | Vanguard Group, Inc. |
| Dash Regulatory Technologies LLC | Jefferies LLC | Regulatory Intelligence | Webull Financial LLC |
| Deloitte | JPMorganChase | RMMilburn Consulting LLC | Wedbush Securities Inc. |
| Deloitte & Touche LLP | Kingland Systems Corporation | Robinhood Securities, LLC | WilliamsMarston |
| DTCC | Kingland Systems LLC | RSM US LLP | |
| | | Safra Securities LLC | |

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